

1. Name of Listed Entity: Lumax Industries Limited
2. Scrip Code/Name of Scrip/Class of Security: LUMAXIND
3. Share Holding Pattern Filed under: 31b
4. Share Holding Pattern as on : 31-Dec-2018
5. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No	
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity	No. of Partly paid-up equity	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
			shares held (IV)	shares held (V)	(VI)	(VII) = (IV)+(V)+(VI)	As a % of (A+B+C2)	No of Voting Rights			Total as a % of (A+B+C)		No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Share s held (b)		
								Class eg: X	Class eg: Y	Total								
A	Promoter & Promoter	8	7010798	0	0	7010798	75.00	7010798	0	701079	75.00	0	75.00	0	0.00			7010798

	Group									8								
B	Public	16662	2336934	0	0	2336934	25.00	2336934	0	2336934	25.00	0	25.00	0	0.00			2157188
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Total	16670	9347732	0	0	9347732	100.00	9347732	0	9347732	100.00	0	100.00	0	0.00			9167986

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	PAN (II)	No. of shareh older (III)	No. of fully paid up equity share s held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Sharehol ding % calculate d as per SCRR, 1957 (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholdin g, as a % assuming full conversion of convertible securities (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerializ ed form (XIV)	
	No of Voting Rights								Total as a % of Total Voting rights	No. (a)		As a % of total Shar es held (b)			No. (a)		As a % of total share s held (b)			
	Class X									Class Y	Tot al				No. (a)	As a % of total Shar es held (b)				

l	Indian		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
a	Individuals/Hindu undivided Family		4	2487032	0	0	2487032	26.61	2487032	0	2487032	26.61	0	26.61	0	0.00			2487032
	DHANESH KUMAR JAIN	AAE PJ0852F	1	2109709	0	0	2109709	22.57	2109709	0	2109709	22.57	0	22.57	0	0.00			2109709
	DHANESH KUMAR JAIN HUF	AAA HD1442B	1	142970	0	0	142970	1.53	142970	0	142970	1.53	0	1.53	0	0.00			142970
	DEEPAK JAIN	AAE PJ1525D	1	164428	0	0	164428	1.76	164428	0	164428	1.76	0	1.76	0	0.00			164428
	ANMOL JAIN	AAPP1J5958D	1	69925	0	0	69925	0.75	69925	0	69925	0.75	0	0.75	0	0.00			69925
b	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

d	Any Other (specify)		2	10183 67	0	0	101836 7	10.89	101830 67	101 836 7	10.89	0	10.89	0	0.00		1018367
	Bodies Corporate		2	10183 67	0	0	101836 7	10.89	101830 67	101 836 7	10.89	0	10.89	0	0.00		1018367
	LUMAX AUTO TECHNOLO GIES LIMITED	AAA CD40 90Q	1	52500 0	0	0	525000	5.62	525000 0	525 000	5.62	0	5.62	0	0.00		525000
	LUMAX FINANCE PRIVATE LIMITED	AAA CS95 30J	1	49336 7	0	0	493367	5.28	493360 7	493 367	5.28	0	5.28	0	0.00		493367
	Sub-Total (A)(1)		6	35053 99	0	0	350539	37.50	350530 99	350 539 9	37.50	0	37.50	0	0.00		3505399
2	Foreign		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
a	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
b	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
c	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0
d	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00*	0	0.00	0
e	Any Other (specify)		2	35053 99	0	0	350539	37.50	350530 99	350 539 9	37.50	0	37.50	0	0.00		3505399
	Bodies Corporate		2	35053 99	0	0	350539	37.50	350530 99	350 539 9	37.50	0	37.50	0	0.00		3505399
	STANLEY	AAK	1	33433	0	0	334338	35.77	334330	334	35.77	0	35.77	0	0.00		3343381

ELECTRIC CO. LTD	CS6795F		81			1		81		3381								
THAI STANLEY ELECTRIC PUBLIC COMPANY LIMITED	AAE CT4855H	1	162018	0	0	162018	1.73	162018	0	162018	1.73	0	1.73	0	0.00			162018
Sub-Total (A)(2)		2	3505399	0	0	3505399	37.50	3505399	0	3505399	37.50	0	37.50	0	0.00			3505399
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		8	7010798	0	0	7010798	75.00	7010798	0	7010798	75.00	0	75.00	0	0.00			7010798

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Mutual Funds/		4	98379	0	0	98379	1.05	98379	0	98379	1.05	0	1.05	0	0.00			97339
b	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
c	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

d	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Foreign Portfolio Investors		13	73270	0	0	73270	0.78	73270	0	73270	0.78	0	0.78	0	0.00			73270
f	Financial Institutions/ Banks		7	1690	0	0	1690	0.02	1690	0	1690	0.02	0	0.02	0	0.00			1420
g	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
i	Any Other (specify)		1	80	0	0	80	0.00	80	0	80	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(1)		25	173419	0	0	173419	1.85	173419	0	173419	1.85	0	1.85	0	0.00			172029
2	Central Government/ State Government(s))/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
3	Non- institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
a	Individuals -		15881	1586038	0	0	1586038	16.97	1586038	0	1586038	16.97	0	16.97	0	0.00	0	0.00	1408963
i	Individual shareholders holding nominal share		15877	1359154	0	0	1359154	14.54	1359154	0	1359154	14.54	0	14.54	0	0.00			1182079

	capital up to Rs. 2 lakhs.																		
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		4	226884	0	0	226884	2.43	226884	0	226884	2.43	0	2.43	0	0.00			226884
b	NBFCs registered with RBI		4	1220	0	0	1220	0.01	1220	0	1220	0.01	0	0.01	0	0.00			1220
c	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
e	Any Other (specify)		752	576257	0	0	576257	6.16	576257	0	576257	6.16	0	6.16	0	0.00			574976
	Bodies Corporate		1	200000	0	0	200000	2.14	200000	0	200000	2.14	0	2.14	0	0.00			200000
	PARAM CAPITAL RESEARCH PVT LTD	AAA CP50 47P	1	200000			200000	2.14	200000		200000	2.14	0	2.14		0.00			200000
	Sub-Total (B)(3)		16637	2163515	0	0	2163515	23.14	2163515	0	2163515	23.14	0	23.14	0	0.00			1985159
	Total Public Shareholding (B)= (B)(1)+(B)(2) +(B)(3)		16662	2336934	0	0	2336934	24.99	2336934	0	2336934	24.99	0	24.99	0	0.00			2157188

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of shareholding (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)
								No of Voting Rights			Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)	
								Class X	Class Y	Total								

Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0
Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
				Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %